

Fleming Watson Financial Advisors, LLC
FINANCIAL PLANNING AGREEMENT

512 Third Street
 Marietta, OH 45750
 Telephone: 740-373-4877

Client Name:	
Client Address:	
Client Phone:	

Client engages Fleming Watson Financial Advisors, LLC (“FWFA”) to prepare a financial plan. FWFA hereby accepts appointment and fiduciary duty of utmost good faith to act solely in the best interests of each client pursuant to the terms and conditions set forth in this Agreement and to comply with impartial conduct standards of:

- Charging no more than reasonable compensation for services provided; and
- Making no misleading statements regarding investments, compensation and conflicts of interest.

Financial plans will be performed for one of the following areas:

Retirement Planning		Investment Planning		Long Term Accumulation	
Distribution Planning		Insurance Planning		Other: _____	

Financial planning services are offered based on either a fixed fee or hourly rate. The payment is due upon delivery of completed plan. Client may cancel within five (5) business days of signing Agreement for a full refund. If the client cancels after five (5) business days, FWFA will be paid a pro rata fee based on the number of hours dedicated to the financial plan. Financial plans will be completed and delivered within forty five (45) days.

• **FIXED FEES**

Financial Planning Services are offered based on a flat fee that is calculated by FWFA based on the estimated number of hours the plan will take to complete, at a \$75 per hour rate. If the hours worked on the plan exceed the estimated hours used to calculate the fixed fee, the client will not be charged for those extra hours. The fixed fee for preparing the financial plan is \$_____.

• **HOURLY FEES**

Financial Planning Services are offered based on an hourly fee of \$75 per hour based on complexity and unique client needs.

The estimated fee for preparing the financial plan is \$_____

No assignment of this agreement by FWFA shall be effective without the prior written consent of Client.

Client acknowledges receipt of Privacy Policy and Form ADV Part 2 of FWFA. For the purposes of this provision, a contract is considered entered into when all parties to the contract have signed the contract or otherwise signified their acceptance, any other provisions of this contract

notwithstanding. Under financial planning services, the client will receive a one-time written financial plan addressing the specific agreed upon objectives laid out in the client agreement. Engagement in future planning services is at the discretion of the client and a new agreement will be executed based on the new objectives, given the revised scope of those objectives.

Client chooses to have the following delivered via electronic communication via the following

Email address: _____

- Annual Delivery of Privacy Policy
- Annual Delivery of Form ADV Part 2
- Other _____

Email Address Certification. You certify that the email address you provided above is a functioning email address; owned and maintained by you or your agent on your behalf, and that all electronic communications of reports sent to the Email Address shall be accessible by you. You agree to notify us in writing, of any change in the Email Address.

Client acknowledges that FWFA or its representatives may provide client with various financial products upon which a commission may be paid to FWFA or its representatives, and such commissions are separate and apart from the fees charged under this Agreement. A conflict exists because of the relationship with the insurance agency. Through this relationship various financial products may be offered upon which a commission may be paid. This conflict is mitigated by disclosures, procedures, and the firm's Fiduciary obligation.

